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# NOTICE OF ALLOWANCE AND FEE(S) DUE

22971

7590

12/24/2008

MICROSOFT CORPORATION ONE MICROSOFT WAY REDMOND, WA 98052-6399 EXAMINER

CHEN, ALAN S

ART UNIT PAPER NUMBER

2182

DATE MAILED: 12/24/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/758.831	01/11/2001	Yue Chen	150562.01	8533

TITLE OF INVENTION: COMPUTER-BASED SWITCH FOR TESTING NETWORK SERVERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	03/24/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

## Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where n

appropriate. All further indicated unless correcte maintenance fee notifica  CURRENT CORRESPONDE	correspondence includired below or directed other tions.  ENCE ADDRESS (Note: Use Bl			n H	Note Fee(s	: A certificate of n	nailing certif	can only be used for	domes	stic mailings of the
22971 7590 12/24/2008						papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.				
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APPLICATION NO.	FILING DATE			FIRST NAMED INVENT	OR	OR ATTORNEY DOCKET NO. CONFIR			FIRMATION NO.	
09/758,831	01/11/2001			Yue Chen				150562.01		8533
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nonprovisional	NO	\$1510	\$1510 \$300			\$0		\$1810		03/24/2009
EXAM	INER	ART UN	ПТ	CLASS-SUBCLASS						
CHEN, A	ALAN S	2182		709-223000						
CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Custome Number is required.				registered attorney or agents. If no name is listed, no name will be printed.						
recordation as set fort  (A) NAME OF ASSIG	less an assignee is ident h in 37 CFR 3.11. Comp GNEE	ified below, no oletion of this fo	assignee orm is NO	data will appear on th T a substitute for filing (B) RESIDENCE: (Cl	e pa an a ITY	atent. If an assigned assignment. and STATE OR CO	OUNT	RY)		_
Please check the appropr	iate assignee category or	categories (wil	l not be pr	inted on the patent):		Individual 🖵 Cor	porati	on or other private gro	up entit	y 🖵 Government
4a. The following fee(s) are submitted:  ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies				<ul> <li>4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)</li> <li>A check is enclosed.</li> <li>Payment by credit card. Form PTO-2038 is attached.</li> <li>The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).</li> </ul>						
**	s SMALL ENTITY statu	is. See 37 CFR		b. Applicant is no		=				
NOTE: The Issue Fee an interest as shown by the	records of the United Sta	tes Patent and	rademark	office.	an th	не арриса <b>п</b> і; а regis	tered a	morney or agent; or th	e assigr	iee or other party in
Authorized Signature						Date				
Typed or printed name						_				
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PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

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# UNITED STATES PATENT AND TRADEMARK OFFICE

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22971 7.	590 12/24/2008		EXAM	INER	
MICROSOFT C	ORPORATION	CHEN, ALAN S			
ONE MICROSOF		ART UNIT	PAPER NUMBER		
REDMOND, WA	98052-6399		2182		
		DATE MAILED: 12/24/2008			

# **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 562 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 562 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)			
	09/758,831	CHEN ET AL.			
Notice of Allowability	Examiner	Art Unit			
	ALAN S. CHEN	2182			
The MAILING DATE of this communication appearable communication appearable claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIP of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED or other appropriate comm IGHTS. This application is	in this application. If not included nunication will be mailed in due cou	ırse. <b>THIS</b>		
1. X This communication is responsive to IDS submitted 10/10/	<u>08</u> .				
2. ☑ The allowed claim(s) is/are <u>1 and 3-31</u> .					
3. ☐ Acknowledgment is made of a claim for foreign priority ur  a) ☐ All b) ☐ Some* c) ☐ None of the:  1. ☐ Certified copies of the priority documents have	e been received.				
2. Certified copies of the priority documents have			. franc tha		
<ol> <li>Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)).</li> </ol>	cuments have been receiv	ed in this national stage application	i from the		
* Certified copies not received:					
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  4.   A SUBSTITUTE OATH OR DECLARATION must be subm	IENT of this application. itted. Note the attached EX	(AMINER'S AMENDMENT or NOT			
INFORMAL PATENT APPLICATION (PTO-152) which give 5.  CORRECTED DRAWINGS (as "replacement sheets") must	, , -	or declaration is delicient.			
(a) ☐ including changes required by the Notice of Draftspers		ew ( PTO-948) attached			
1)  hereto or 2)  to Paper No./Mail Date	•	,			
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date					
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			ck) of		
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Attachment(s)	E 🖂 Nation of I	mformed Dataset Application			
<ol> <li>Notice of References Cited (PTO-892)</li> <li>D Notice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>		nformal Patent Application Summary (PTO-413),			
<ol> <li>Information Disclosure Statements (PTO/SB/08),</li> </ol>	Paper No	o./Mail Date s Amendment/Comment			
Paper No./Mail Date <u>10/10/08</u>	_				
<ol> <li>Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ol>	8. ⊠ Examiner' 9.  ☐ Other	8. ☑ Examiner's Statement of Reasons for Allowance			
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## **DETAILED ACTION**

### Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 10/10/08 has been entered.

# Allowable Subject Matter

2. Claims 1 and 3-31 are allowed.

The following is the statement of reasons for the indication of allowable subject matter: The prior art disclosed by the applicant and cited by the Examiner fail to teach or suggest, alone or in combination, *all* the limitations of the independent claim(s) (claims 1,9,17,24 and 31), particularly a server testing controller that exists on an external network that communicates with a testing control element on the local network in order to create and test failure conditions. The two NPL submitted in the IDS filed 10/10/2008 deal with load-balancing and does not appear to have sufficient legal grounds to anticipate or obviate each independent claims in totality, in particular the creation and testing of failure conditions.

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Art Unit: 2182

#### **Contact Information**

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to ALAN S. CHEN whose telephone number is (571)272-4143. The examiner can normally be reached on M-F 9-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tariq R. Hafiz can be reached on 571-272-6729. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Alan S Chen/ Primary Examiner, Art Unit 2182 12/16/08